

# Predictors of Relapse and Survival Following Autologous Stem Cell Transplant in Patients with Diffuse Large B-Cell Lymphoma

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## Background

- Although the majority of patients with diffuse large B-cell lymphoma (DLBCL) can be cured with intensive chemotherapy and rituximab, 30-40% of patients will be refractory to or relapse after first line treatment. For these patients, the current standard of care is salvage chemotherapy followed by high-dose chemotherapy and autologous stem cell transplantation (ASCT).
- Prior studies have largely examined clinical risk factors associated with higher risk of relapse after ASCT; however, there is limited data integrating both pathologic and molecular features.

## Objective

- We aimed to identify high-risk features associated with relapse after ASCT using a combination of clinical, molecular, pathologic, and transplant characteristics.

## Methods

- We retrospectively analyzed the medical records of 235 adult patients with DLBCL who underwent ASCT at our two institutions from 2010 to 2020 (Table 1). Patients with primary CNS lymphoma, primary mediastinal B-cell lymphoma, or Burkitt lymphoma were excluded. We analyzed demographics, clinical characteristics, cell of origin (COO) by immunohistochemistry (IHC), fluorescence in-situ hybridization (FISH) testing, and treatment/transplant characteristics.
- The primary endpoints were 3-year progression-free survival (PFS) and overall survival (OS) from ASCT. The Kaplan-Meier method was used to estimate survival, with univariate and multivariate Cox proportional hazards regression performed to identify factors associating with PFS and OS.

## Table 1: Characteristics

Characteristic	Number (Percent)
<b>Median age at ASCT (years)</b>	61 (range 25-75)
Age <60	131/235 (56%)
Age ≥60	104/235 (44%)
<b>Male sex</b>	149/235 (63%)
<b>Stage at diagnosis</b>	
I-II	46/234 (20%)
III-IV	188/234 (80%)
<b>Extranodal involvement</b>	
Yes	174/234 (74%)
No	60/234 (26%)
<b>R-IPi at diagnosis</b>	
0-3	163/195 (84%)
4-5	32/195 (16%)
<b>Prior indolent lymphoma</b>	
Yes	71/235 (30%)
No	164/235 (70%)
<b>Cell of origin (COO) by IHC</b>	
Germinal center (GCB)	115/191 (60%)
Non-GCB	76/191 (40%)
<b>Double/triple-hit lymphoma (DHL)</b>	
Yes	35/158 (22%)
No	123/158 (78%)
<b>Double-expressor lymphoma</b>	
Yes	14/57 (25%)
No	43/57 (75%)
<b>Disease category</b>	
Primary refractory	29/178 (16%)
Early relapse (<12 mo)	71/178 (40%)
Late relapse (≥12 mo)	78/178 (44%)
<b>Median lines of treatment</b>	2 (range 1-5)
1-2 lines	196/235 (83%)
≥3 lines	39/235 (17%)
<b>Disease status at ASCT</b>	
CR	154/234 (66%)
PR	76/234 (32%)
SD/PD	4/234 (2%)
<b>Conditioning regimen</b>	
BEAM	165/235 (70%)
Cy/TBI	47/235 (20%)
Other	23/235 (10%)

## Figure 1: PFS and OS by COO and disease status

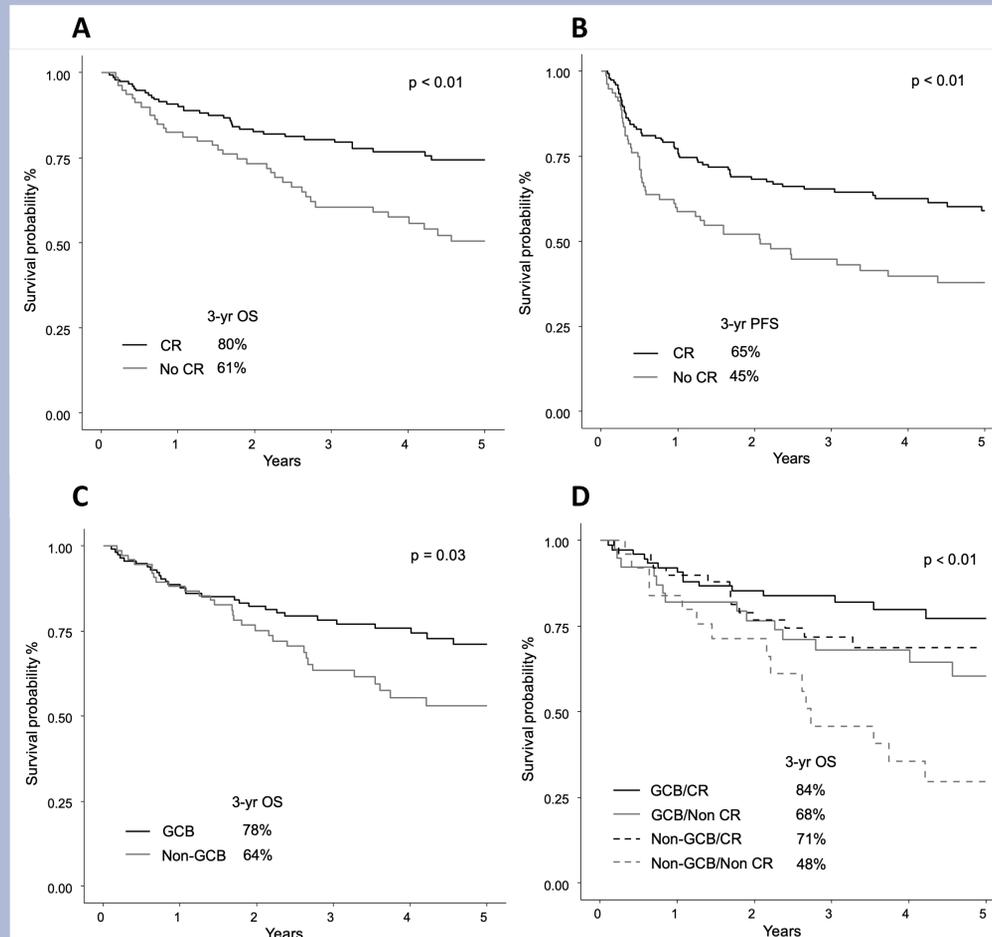


Figure 1. Kaplan Meier plots showing: A) OS by disease status at transplant. B) PFS by disease status at transplant. C) OS by cell of origin. D) OS by cell of origin and disease status at transplant.

## Results

- With median follow-up of 5.2 years from time of ASCT, 98 patients (42%) relapsed and 78 (33%) died. 3-year PFS and OS were 58% (95% CI 51-64%) and 74% (95% CI 67-79%), respectively.
- In univariate analysis, factors associated with worse PFS and worse OS included 3 or more lines of treatment pre-ASCT ( $p < 0.01$  for both) and non-CR at ASCT ( $p < 0.01$  for both) (Figure 1A and B). Transformed disease was also associated with worse PFS ( $p = 0.03$ ).
- In multivariate analysis, non-CR at ASCT remained significant (HR 2.22, 95% CI 1.26-3.90,  $p < 0.01$ ) for worse OS, along with non-GCB COO (HR 1.81, 95% CI 1.03-3.18,  $p = 0.04$ ) and age  $> 60$  at ASCT (HR 1.92, 95% CI 1.06-3.47,  $p = 0.03$ ) (Figure 1C). Stratifying by COO and disease status at transplant, 3-year OS was best in the GCB/CR group (84%, 95% CI 73-90%), while worse but similar in the GCB/non-CR and non-GCB/CR groups (68%, 95% CI 51-80% and 71%, 95% CI 56-83%, respectively) (Figure 1D). The non-GCB/non-CR group had the worst 3-year OS (48%, 95% CI 27-67%).
- No individual factors beyond CR/non-CR at ASCT were associated with worse 3-year PFS.
- Notably, DHL/THL patients (77% of whom were in CR at time of ASCT) had similar PFS ( $p = 0.08$ ) and OS ( $p = 0.30$ ) to non-DHL/THL patients, suggesting that response to therapy may be more prognostic than high-risk molecular features alone.

## Conclusions

- This analysis indicated that factors associated with OS after ASCT were disease status at time of transplant and COO, with non-GCB patients not in CR having the poorest outcomes. GCB patients not in CR were still able to be cured by ASCT at a high rate. Molecular rearrangements including DHL/THL were not prognostic, although interpretation may be limited by the modest number of DHL/THL patients.
- These findings may inform which patients should undergo ASCT, while the highest risk group may be better treated with alternatives including novel targeted agents or chimeric antigen receptor cell therapy.

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